

# SECTION II - Scope of development

## 1 Overview

The scope of Phase 3.1 is depicted in the table 6. In this table, this guideline covers the columns identified by D to F.

Explanatory notes identified by their column:

A to C	Define the Information Exchanges (IE) in the scope of Phase 3.1, by means of IE number, IE name, and IE reference. The IE reference will always start with “C_” for all exchanges in the Common Domain, while “N_” will denote exchanges in the National Domain, and “E_” will denote exchanges in the External Domain.
D to F	Indicate the requirements concerning implementation of IEs. Requirements or recommendations are given for respectively the send-function and receive-function.
M to S	A “Y” indicates support by the national administration of respectively the Send-function, Receive-function, the Internet, the EDI format and SADBEL.  A “O” indicates that the principal or consignee by means of the Internet or SADBEL, can ask for the status of the movement, but that the NCTS never gives an automatic reply.

Table 5: Items to be taken into account for Phase 3.1.

	A	B	C	D	E	I	M	N	O	Q	T	S
1	IE	Name	Reference									
3				S	R	P	S	R	P	W	E	S
				e	e	a	e	e	a	E	D	A
				n	c	p	n	c	p	B	I	D
				d	e	r	d	e	r		F	B
					l					A	E	E
					v					C	L	L
				e						T		
<b>4</b>	<b>Functional Information Exchanges</b>											
8	IE04	Amendment acceptance	E_AMD_ACC	SR								
9	IE05	Amendment rejection	E_AMD_REJ	SR								
11	IE07	Arrival Notification	E_ARR_NOT		SR			Y		O	Y	O
12	IE08	Arrival Notification Rejection	E_ARR_REJ	SR			Y			Y	Y	
13	IE09	Cancellation Decision	E_CAN_DEC	SR			Y			Y	Y	
15	IE13	Declaration amendment	E_DEC_AMD		SR							
16	IE14	Declaration Cancellation Request	E_DEC_CAN		SR			Y		Y	Y	
17	IE15	Declaration Data	E_DEC_DAT		M			Y		Y	Y	Y
18	IE16	Declaration Rejected	E_DEC_REJ	SR			Y			Y	Y	Y
21	IE19	Discrepancies	E_DIS_SND	SR								
22	IE20	Discrepancies solved notification	E_DIS_SOL	SR								
23	IE21	Diversion rejection notification	E_DIV_NOT	SR								
24	IE23	Enquiry initiation notification	E_ENQ_NOT	SR								
26	IE25	Goods Release Notification	E_GDS_REL	SR			Y			O	Y	
	<a href="#">IE26</a>	<a href="#">Code of access of the guarantee</a>	E_ACC_COD									
28	IE28	MRN Allocated	E_MRN_ALL	SR			Y			Y	Y	Y
29	IE29	Release for Transit	E_REL_TRA	M			Y			O	Y	O
30		Accompanying Document		M	M	M	Y	Y	Y	Y		
36	IE43	Unloading Permission	E_ULD_PER	SR			Y			O	Y	O
37	IE44	Unloading Remarks	E_ULD_REM		SR			Y		Y		

	A	B	C	D	E	I	M	N	O	Q	T	S
1	IE	Name	Reference									
3				Send	Receive	Paper	Send	Receive	Paper	WEB	EDIFACT	SADBEL
38	IE45	Write-off notification	E_WRT_NOT	SR								
40	IE51	No Release for Transit	E_REL_NOT	SR			Y			O	Y	O
41	IE54	Request of Release	E_REQ_REL		SR			Y		Y	Y	
	<a href="#">IE55</a>	<a href="#">Invalid guarantee</a>	E_GUA_INV									
42	IE58	Unloading Remarks Rejection	E_ULD_REJ	SR			Y			Y	Y	
43	IE60	Control Decision Notification	E_CTR_DEC	SR			Y			O	Y	O
44	IE62	Release Request Rejection	E_REQ_REJ	SR			Y			Y	Y	
47	IE100	Ask for documents	E_ASK_DOC	SR		Y						
48	IE101	Return documents	E_DOC_SND		SR	Y						
	<a href="#">IE102</a>	<a href="#">Request the not arrived movement</a>	E_REQ_MOV									
	<a href="#">IE103</a>	<a href="#">Information about the not arrived movement</a>	E_MOV_RSP									
53	IE119	Departure Control document on paper	E_DEP_PAP	SR	SR	Y	Y	Y	Y			
54	IE120	Destination Control document on paper	E_DES_PAP	SR	SR	Y	Y	Y	Y			
	<a href="#">IE218</a>	<a href="#">Reception of the exemption of guarantee</a>	E_GWA_REC									
	<a href="#">IE224</a>	<a href="#">Information about the sales of titles of fixed guarantee</a>	E_FRG_INF									
	<a href="#">IE225</a>	<a href="#">Announcement of update of guarantee</a>	E_GWA_WUP									
	<a href="#">IE228</a>	<a href="#">Release of the obligation of cancellation of the global guarantee</a>	E_GOG_CNL									
	<a href="#">IE231</a>	<a href="#">Announcement of cancellation of the global guarantee</a>	E_COG_CNP									
59	<b>Technical Information Exchanges</b>											
60	IE901	Cancellation Acknowledgement	C_CAN_ACK	M	M		Y	Y				Y
63	IE906	Functional NACK	C_FUN_NCK	M	M		Y	Y				Y
64	IE907	EDIFACT NACK (CONTRL)	C_EDI_NCK	M	M		Y	Y				Y

Table 6: IEs in the scope of this DDNTA (Phase 3.1)

\* Ask in the WEB application via “Query”

Y\* Ask in the WEB application via “Query” of interactive (as the case may be)

Y? Still in consideration

The logic behind columns D to F is the following:

D	<del>Process to construct &amp; send the IE. An “M” means that the NA must implement the construction and the sending of this Information Exchange in order to comply with the NCTS legal base, while “SR” indicate that this is “Strongly Recommended” to do so.</del>
E	<del>Process to receive &amp; process the content of the IE. Same comment as for the “Send” column (D).</del>
F	<del>A “M” in this column means the IE has to be exchanged on paper according to a layout imposed by the NCTS legal base. A “Y” in this column indicates paper exchanges without a legal basis.</del>

Table 7: Implementation aspects of Phase 3.1.

~~For Phase 3.1, columns D and E define whether the implementation of an Information Exchange is Mandatory (M) or Strongly Recommended (SR).~~

~~It is described farther. The next column (F) specifies which exchange mechanism needs to be used for performing the exchanges:~~

- Paper exchanges only. If this is the case, column F (“Paper”) is marked with a M (for paper exchanges having a legal basis), and with a Y (for paper exchanges without such a basis). To be noted is that “paper exchange” should be understood here as “any exchange not involving specific Transit EDI means”.
- Exchanges as electronic (EDI) messages. This can be:
  - exchanges for which the exchange mechanism (communication platform) has been defined beforehand. This can be either the CCN/CSI platform or the Inter(Intra)net.
  - exchanges, for which the exchange mechanism and platform not has been be defined beforehand.

There can thus be 3 possible communication platforms for electronic exchanges: the CCN/CSI platform, the Internet, and a NA-specific platform: public network and private networks.

There are a number of exceptions to the rules mentioned above:

All messages are either purely a paper message or an electronic message, except for the IE33 message, which can be exchanged via both means. This message is dealing with OTS diversion.
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